

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**



(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
15 February 2001 (15.02.2001)

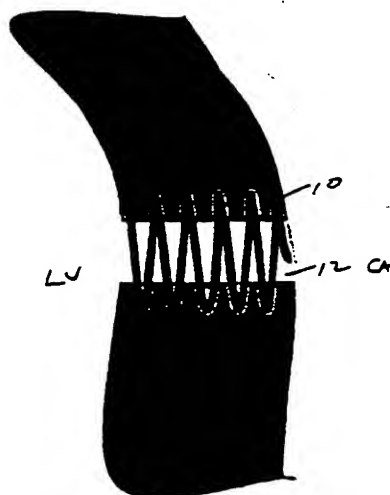
PCT

(10) International Publication Number  
**WO 01/10340 A1**

- (51) International Patent Classification<sup>7</sup>: **A61F 2/00** (74) Agents: GARRETT, Arthur, S. et al.; Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P., 1300 I Street, N.W., Washington, DC 20005-3315 (US).
- (21) International Application Number: **PCT/US00/21120**
- (22) International Filing Date: **3 August 2000 (03.08.2000)** (81) Designated States (national): AU, CA, JP, US.
- (25) Filing Language: **English** (84) Designated States (regional): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).
- (26) Publication Language: **English**
- (30) Priority Data: **60/147,210** **4 August 1999 (04.08.1999)** **US** Published:  
— With international search report.
- (71) Applicant (for all designated States except US): **PER-CARDIA, INC.** [US/US]; Suite 202, 10 Al Paul Lane, Merrimack, NH 03054 (US). For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): **CAHALAN, Patrick** [US/US]; 8 Westchester Road, Windham, NH 03087 (US).

(54) Title: **CORKSCREW REINFORCED LEFT VENTRICLE TO CORONARY ARTERY CHANNEL**

**Corkscrew Reinforced LV – CA Channel**



**Ablated Channel**

(57) Abstract: A coil is screwed into the heart wall HW between the left ventricle and coronary artery, followed by forming of a channel with laser, plasma, electrical, or mechanical device through the heart wall.

**WO 01/10340 A1**

**THIS PAGE BLANK (USPTO)**

## **CORKSCREW REINFORCED LEFT VENTRICLE TO CORONARY ARTERY CHANNEL**

### **Background of the Invention**

#### **Field of the Invention**

This invention relates to method and apparatus for forming a channel to allow communication of fluids from one portion of a patient's body to another, and more particularly, to a device that can communicate blood between the left ventricle and coronary arteries or veins.

#### **Description of the Related Art**

Currently there exists a shunting concept that establishes a passage from the left ventricle directly to the coronary artery by the placement of a stent or hollow tube in a channel formed therethrough. Other designs also suggested that it might be possible to have a stent-less channel (see U.S. Patent No. 5,662,124). Both designs have to deal with problems arising from the contraction and multidimensional movements of the heart wall in relation to the device. For a straight hollow tube the movement may create irritation between the tissue and the metal interface that may lead to a chronic inflammatory response, and maybe even to dislodgement of the tube. For a collapsible or expandable "wire" stent the constant movement can lead to fracture from cyclic fatigue (this has been documented in vivo humans - by Stent Medtronic). With respect to blood compatibility a solid tube presents maximum foreign surface to the blood of materials that are all relatively thrombogenic. While a wire or open stent design has less surface area exposed to blood, the profile of the stent in the flow field is less optimal and requires optimal placement.

#### **Summary of the Invention**

What is primarily needed is a device that can control the shape of the open channel between the left ventricle and coronary artery, and can withstand long and continuous complex movement without causing irritation, dislodgement, or fracture. An alternative approach to spikes, and stents, is the use of a screw in coil. The design of such a coil would be much like that of a corkscrew. This same design has been used on implantable pacemaker leads for years to anchor the electrode tips into the endocardium of the heart. This design yields very high stability in terms of pull out force and survival against mechanical

dislodgement. It is also well tolerated without chronic inflammatory responses. Another advantage is in placement of the device, as it can be removed with ease and without significant tissue trauma should the initial placement attempt be less than optimal.

#### Brief Description of the Drawings

**FIGURE 1** illustrates a partial cross-sectional view of a coil screwed into the heart wall between the left ventricle and coronary artery.

**FIGURE 2** illustrates a partial cross-sectional view of a channel formed through the coil of **FIGURE 1**.

#### Detailed Description of the Preferred Embodiment

**FIGURES 1 and 2** roughly show the preferred design, which would comprise first screwing in a coil 10 into the heart wall HW between the left ventricle LV and coronary artery CA (**FIGURE 1**), and then followed by forming of a channel 12 by, for example, laser, plasma, electrical, or mechanical device (**FIGURE 2**). At this time it would be preferred to use the plasma ablation technique as the diameter of the channel can be controlled with considerable accuracy at the dimensions one would want, and also the asymmetry can be controlled.

In one embodiment, the corkscrew or coil 10 may be configured to have a desired pitch and spacing so that insertion of the coil 10 into the heart wall does not puncture through the coronary artery CA. This is accomplished by choosing an entry point for the coil 10 adjacent the coronary artery, and screwing the coil 10 into the heart wall such that the coronary artery is positioned in between the turns of the coil as the coil is inserted. In this embodiment, the spacing between the turns of the coil is greater than the diameter of the coronary artery.

Up until now it has been suggested that blood exposure to non endothelialized tissue surfaces would be a problem for a formed, stentless channel. In reality the challenge of the denatured proteins on the surface of a formed channel is certainly no more than that of a metal surface of a tube or stent concept.

It is further envisioned that the channel formed would be optimal for "paving" with a biocompatible polymer such as a PEG macromer (Focal of MA), and this could be a rapid and catheter-based method of in situ modification of the channel to improve blood compatibility.

It is also conceivable that the "corkscrew" can be placed on the distal tip of a catheter

and also serves as a guide for the subsequent forming device to make the channel. Electrical circuits can be designed to operate within defined distances from the corkscrew, and can control the forming device that requires energy sources.

An additional advantage of the corkscrew is that a slight projection into the ventricle would not create dead spots for blood pooling like that of a hollow tube. It also would not be subject to rapid over insertion as is the case with puncture like devices.

Finally the corkscrew is not actually inside the channel and therefore presents no problems of profile and placement, as would be the case with an expanding stent design. The latter variable has been thought to be the most critical parameter in intravascular stent use.

It will be appreciated that although the above embodiments have been described as being used between the coronary artery and left ventricle, the methods and apparatus described above can be used between any heart chamber and blood vessel, or through any other body tissue between two body lumens in which a channel of blood is desired to be formed.

The embodiments illustrated and described above are provided merely as examples of certain preferred embodiments of the present invention. Various changes and modifications can be made from the embodiments presented herein by those skilled in the art without departure from the spirit and scope of the invention, as defined by the appended claims.

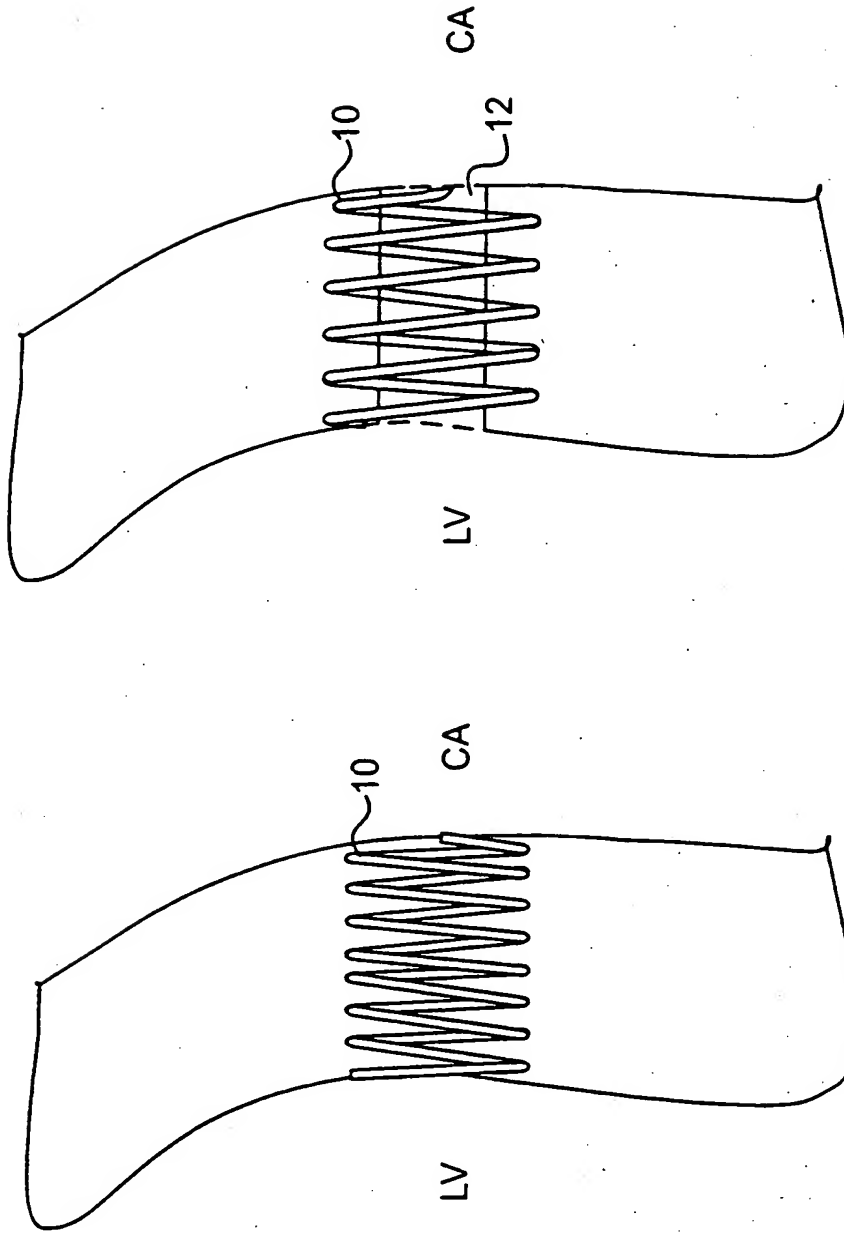
## WHAT IS CLAIMED IS:

1. A method for forming a channel between a first body lumen and a second body lumen through body tissue, comprising:  
screwing a coil into the body tissue between the first and second body lumens;  
and  
forming a channel through the coil.
2. The method of Claim 1, wherein the channel is formed using a device selected from the group consisting of a laser, plasma, an electrical device and a mechanical device.
3. The method of Claim 1, wherein the first body lumen is a heart chamber, the second body lumen is a coronary artery or vein, and the body tissue is a heart wall.
4. A reinforced channel between a heart chamber and a blood vessel, comprising:  
a coil extending through the heart wall between the heart chamber and blood vessel; and  
a channel through the coil.
5. The reinforced channel of Claim 4, wherein the channel through the coil is formed by ablation.
6. The reinforced channel of Claim 4, wherein the coil does not contact the channel.



1/1

CORKSCREW REINFORCED LV - CA CHANNEL



ABLATED CHANNEL

**FIG. 2**

CORKSCREW CENTERED

**FIG. 1**

**THIS PAGE BLANK (USPTO)**

# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US 00/21120

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61F2/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 878 751 A (HUSSEIN H) 9 March 1999 (1999-03-09) the whole document -----	4-6

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*S\* document member of the same patent family

Date of the actual completion of the international search

6 November 2000

Date of mailing of the international search report

13/11/2000

Name and mailing address of the ISA  
European Patent Office, P.B. 5818 Patentaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Mary, C

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Int. l. Appl. No. PCT/US 00/21120

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5878751 A	09-03-1999	US 5810836 A	22-09-1998
		EP 0891172 A	20-01-1999
		WO 9732551 A	12-09-1997
		US 6080163 A	27-06-2000
		US 5971993 A	26-10-1999
		US 6053924 A	25-04-2000